

## APPLICATION FOR ACCOUNT ACTIVATION



## IIFL SECURITIES LIMITED

IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23,  
MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604

CDSL DP ID-13014400

NSDL DP ID-IN300394

NSDL DP ID-IN301557

NSDL DP ID-IN301926

NSDL DP ID-IN302470

## A Details of Account holder(s):

Account Holder(s)	Sole/First Holder/Non Individual	Second Holder	Third Holder
Name			
PAN	<input type="text"/>	<input type="text"/>	<input type="text"/>

## B DECLARATION &amp; CONFIRMATION

I/We had opened the abovementioned demat account with Karvy Stock Broking Limited. I/We am/are aware that my/our above mentioned demat account has been transferred to IIFL Securities Limited. I/We hereby request you to activate the abovementioned demat account and wish to confirm that there is no change in details as recorded in my / our abovementioned demat account. I/We hereby further confirm that the abovementioned account was opened in accordance with NSDL and / or CDSL Bye Laws/Business Rules and accordingly request you to consider the said KYC and account opening application as submitted to Karvy Stock Broking Limited for the purpose of activation. I/We also understand that in case you find any deficiency in the documents provided by the Karvy Stock Broking Limited or do not receive any of such documents, my/our account will be liable for suspension. In case of Non Individual, we hereby further confirm that there is no changes in mode of operation of the abovementioned demat account. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations.

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we am/are in receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant" as received from Karvy Stock Broking Limited which shall continue to be binding upon me/us and IIFL Securities Limited. I/We declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We am /are aware that I/We may be held liable for it and IIFL Securities Limited may initiate requisite steps including suspension of my abovementioned demat account.

Date

Name(s) of holder(s)

Signature(s) of holder

**Sole/ First Holder/ Guardian**  
(in case sole holder is minor)  
(Mr./Ms.) / Authorised Signatory  
in case of Non- Individual



**Second Holder**  
(Mr./Ms.) / Authorised Signatory  
in case of Non- Individual



**Third Holder**  
(Mr./Ms.) / Authorised Signatory  
in case of Non- Individual



## FOR OFFICE USE ONLY

Name & Signature of the  
Authorised Signatory

Date




Seal/Stamp of the

**TARIFF SHEET**

Plan Name	<input type="checkbox"/> Karvy Plan
Account Opening Charges (one-time)	0
First Year Annual Maintenance	₹ 0
Annual Maintenance (from 2nd year)	₹295 Incl GST
Minimum Margin	₹ 0
Monthly Subscription	₹ 0
<b>Demat Charges</b>	
Credit Transaction Charges	
Debit Transaction Charges	₹ 20
"Margin pledge initiation and pledge release from client to stock broker (Both side)"	₹ 25
"Margin Re-pledge initiation and Re-pledge release from Stock Broker to Clearing Member(CM)"	"₹ 1 (₹ 0 for Mutual Funds)"
"Margin Re-pledge initiation and Re-pledge release from Stock Broker to Clearing Member(CC)"	"₹ 1 (₹ 0 for Mutual Funds)"
"Margin Pledge Invocation by Stockbroker/CM/CC"	₹ 25
Pledge Creation (Otherthan Margin Pledge)	₹ 59
Pledge Closure (Otherthan Margin Pledge)	₹ 0
Demat/ Remat Charges	₹ 18
Payment Gateway Charges	₹ 9
Conversion of MF units/ Destatementization Per SOA	₹ 0
Reconversion of MF units in to SOA or Redemption/ Restatementization Per SOA	₹ 0
Re-issuance of (Additional) New DIS	₹ 118
<b>Brokerage Charges</b>	
Equity Delivery	0.250%
Equity Intraday/ Futures	0.025%
Nifty Options (per Lot)	₹20 per Lot
Stock/ Bank Nifty Options (per Lot)	₹20 per Lot
Commodity, Currency trading	0.020%
Currency Options (per lot)	10
Currency Futures (per lot)	25
<b>Commodity Options (per lot)</b>	
Gold	₹ 20
Silver	₹ 20
Crude Oil	₹ 20
Copper	₹ 20
Zinc	₹ 20
Gold Mini	₹ 20
<b>Others Charges</b>	
Delayed payment charge (p.a.)	18.00%
System & Risk square off	0
Call & Trade	₹ 0
Postal Charges for physical dispatch	40
Courier/ physical printing (when requested)	100
<b>Access to Services</b>	
Research Report	Yes
Intra-day calls	Yes
Market Guru seminars	Yes
Trader Terminal EXE	Yes
Dedicated RM	Yes
Analyst/ Investment advisor meetings	No

**NOTES:**

- Customer is required to maintain a minimum balance of Rs. 1000/- in his/ her ledger account.
- Document handling charge of Rs. 50 /- shall be applicable: • Customer who has opted for receiving documents by electronic mode: In case such customer also intends to receive documents (contract notes and other related documents) by physical mode. • Customer who has opted for receiving documents by physical mode: In case such customer intends to receive additional/duplicate copies of documents (contract notes and other related documents) by physical mode. This charge may be partly/fully waived off for high net worth /large customer at the discretion of IIFL.
- Inter/settlement/ Beneficiary to pool transfer would be charged 0.04% (Minimum Rs.25 per scrip)
- Charges quoted above are for the services listed. Any service not quoted above will be charged separately
- No refund of account opening charges would be given if the account could not be opened due to non- submission of complete documents by the client or due to lack of desired co-operation from the client.
- Charges/service standards are subject to revision at sole discretion of IIFL Securities Limited and as informed by communication sent by ordinary post/ E-mail.
- Management reserves the right to freeze, discontinue or suspend any account if required
- The above charges are exclusive of GST, statutory and other levies like Securities Transaction Tax, stamp duty and other charges if any, being charges related to the execution and/or settlement of transactions shall be separately chargeable as in force from time to time. The same shall be charged to the Client's account on actual basis

	Sole/First Holder	Second Holder	Third Holder
<b>SIGNATURE</b>			

Branch Official's Name \_\_\_\_\_ Branch Official's Signature 

Date : \_\_\_\_\_ Place : \_\_\_\_\_